

Investor Complaints Data – Stockbroker

Data for the month ending September 2022-

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time [^] (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		0
2	SEBI (SCORES)	0	0	0	0	0		0
3	Stock Exchanges	0	0	0	0	0		0
4	Other Sources (if any)	0	0	0	0	0		0
5	Grand Total	0	0	0	0	0		0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved	Pending
1	April 2022	0	0	0	0
2	May 2022	0	0	0	0
3	June 2022	0	0	0	0
4	July 2022	0	0	0	0
5	August 2022	0	0	0	0
6	September 2022	0	0	0	0

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved During the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
	Grand Total	0	0	0	0

Investor Complaints Data – Portfolio Managers

Data for month ending September 2022-

Sr. No.	Received from	Pending at the end of last month	Received	Resolved	Total Pending	Pending complaint > 3 months	Average Resolution time^ (in days)
1	2	3	4	5	6	6	8
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2022	NA	NA	NA	NA
2	May 2022	NA	NA	NA	NA
3	June 2022	NA	NA	NA	NA
4	July 2022	NA	NA	NA	NA
5	August 2022	0	0	0	0
6	September 2022	0	0	0	0

Trend of annual disposal of complaints

S N	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-19	NA	NA	NA	NA
2	2019-20	NA	NA	NA	NA
3	2020-21	NA	NA	NA	NA
4	2021-22	NA	NA	NA	NA
	Grand Total	NA	NA	NA	NA

Investor Complaints Data – Research Analyst (RA)

Data for the month ending September 2022-

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolutionn time^ (in days)
1	2	3	4	5	6	7	8
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2022	0	0	0	0
2	May 2022	0	0	0	0
3	June 2022	0	0	0	0
4	July 2022	0	0	0	0
5	August 2022	0	0	0	0
6	September 2022	0	0	0	0

Trend of annual disposal of complaints

S N	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2018-19	NA	NA	NA	NA
2	2019-20	NA	NA	NA	NA
3	2020-21	NA	NA	NA	NA
4	2021-22	NA	NA	NA	NA
	Grand Total	NA	NA	NA	NA

Investor Complaints Data – Investment Adviser (IA)

Complaints Data for the month ending September 2022

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1	2	3	4	5	6	7	8
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2022	0	0	0	0
2	May 2022	0	0	0	0
3	June 2022	0	0	0	0
4	July 2022	0	0	0	0
5	August 2022	0	0	0	0
6	September 2022	0	0	0	0
	Grand Total	0	0	0	0

Trend of annual disposal of complaints

S N	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
4	2021-22	0	0	0	0
	Grand Total	0	0	0	0